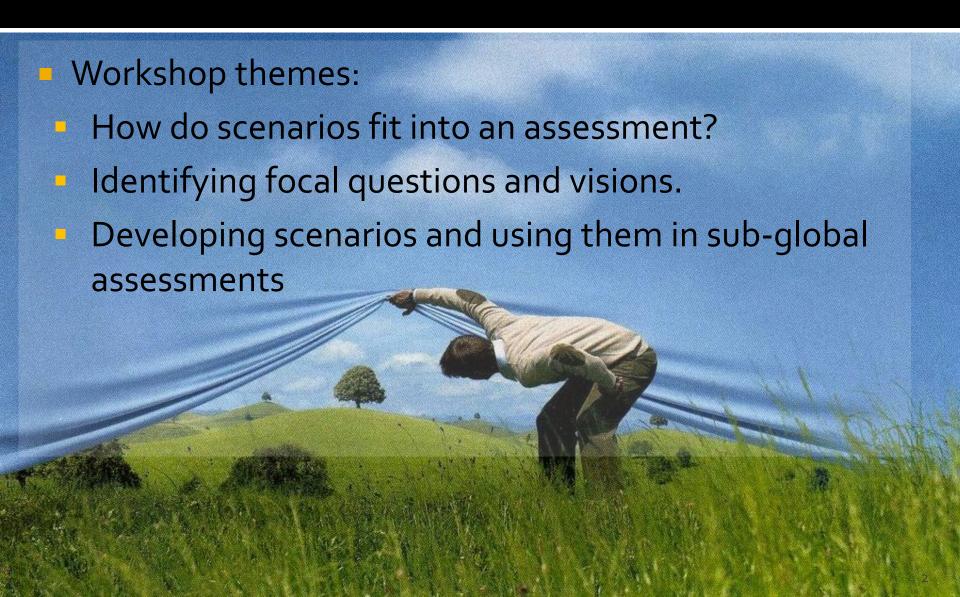
Scenarios and Ecosystem Assessments

5th Sub-Global Assessment Network: Dubai, United Arab Emirates, 26-27th October 2014

Roy Haines-Young & Marion Potschin, Centre for Environmental Management, School of Geography, University of Nottingham, England, NG7 2RD roy.haines-young@nottingham.ac.uk

Workshop Overview



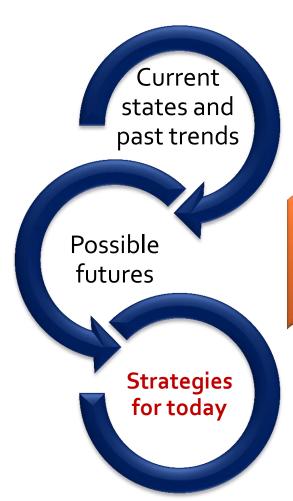
Briefing session 1: How do scenarios fit into an assessment?



Scenarios and assessments

Ecosystem Assessments

A social process through which the findings of science concerning the causes of ecosystem change, their consequences for human well-being, and management and policy options are brought to bear on the needs of decision-makers



There needs to be a read-across between the two elements

Building scenarios

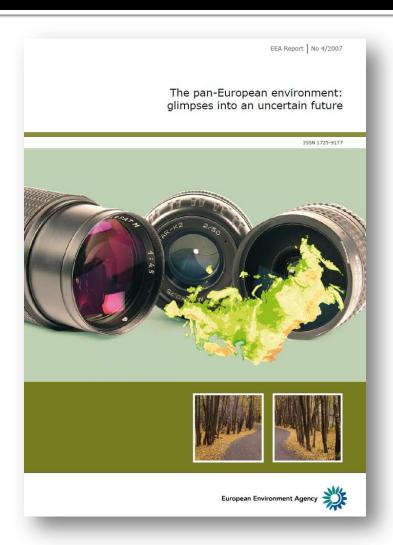


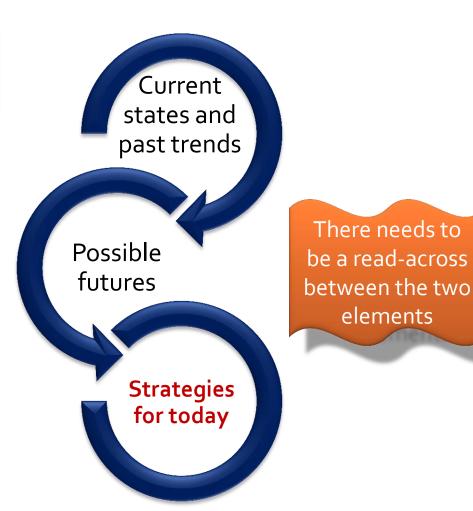
Figure 2.1 Dealing with uncertainty and complexity of the underlying system dynamics in forward-N ing asses Scenarios are not high predictions! Complexity Projections low low Uncertainty high

Source: Zurek and Henrichs, 2007.

Scenarios and assessments

Ecosystem Assessments

A social process through which the findings of science concerning the causes of ecosystem change, their consequences for human well-being, and management and policy options are brought to bear on the needs of decision-makers



Scenarios and assessments

Case Study - Key question from the UK NEA:

How can plausible future scenarios help us to understand, manage and communicate the consequences of changes in ecosystem services across all scales?



Process

scenarios must be capable of facilitating deliberative processes between stakeholders

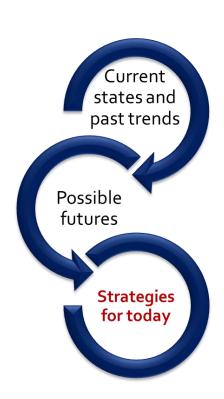
Product

scenarios must be capable of supporting the development of analytical products that challenge thinking

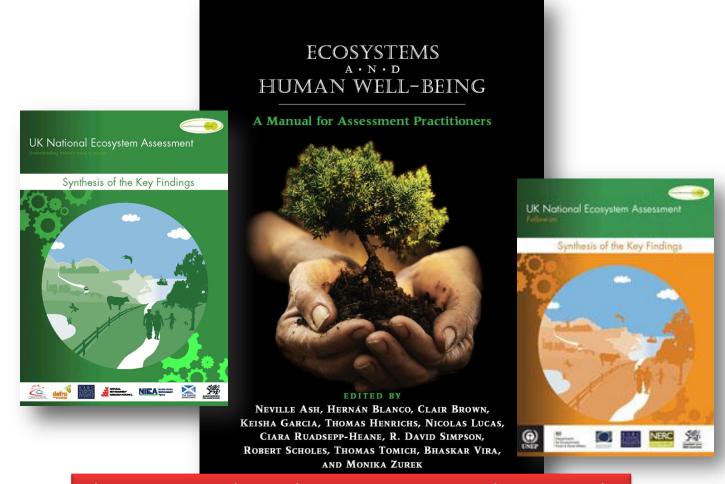
The challenge...

Booth et al. (2014): Lessons learned from carrying out ecosystem assessments: Experiences from members of the Sub-Global Assessment Network:

- "Assessments are complex and multi-disciplinary, but it is essential that they are scientific, methodical and based on timely and correct information. This requires specific expertise across numerous lines of research.
- Involving the right people and setting clear priorities and boundaries from the outset can help to prevent limitations in technical capacity, but with such a broad approach, knowledge gaps are inevitable.
- This can be particularly problematic for more specialised analytical components, such as valuation and scenarios, where the availability of appropriately trained experts is limited..."



Resources



Chapter 5, Henrichs, et al. (2010) Scenario Development and Analysis for Forward-looking Ecosystem Assessments

Understanding and knowledge generation

Developing common goals, visioning

Communication, shared understanding

Policy making, policy evaluation

Planning and management



Table 1: Different types of scenario, their uses and key characteristics (Source: Scenario Guidelines, OpenNESS, 2014)

Uses		Philosophies or main characteristics of scenario development	
Understanding and knowledge generation	Using scenarios to compare the implications of different assumptions about the drivers of change. The work seeks to identify plausible futures rather than make specific predictions, although outcomes are the logical consequence of assumptions.	Exploratory, quantitative, involving a deductive, logic-based approach designed to broadly answer the question what can happen?	
Developing common goals, visioning	Using visioning techniques to define a vision or goal for the future and to explore the steps or path by which they could be realised. Often the vision is compared against some base-line (business as usual) or alternative trend.	Normative, usually qualitative; many involve discussion of quantitative targets or goals, hence designed to answer the question <i>how can some desired or agreed outcome be delivered?</i> The approach may also be used to understand values and valuation criteria in amongst different groups.	
Communication, shared understanding	Using scenarios to illustrate the different possibilities for the future or the consequences of different trends and choices. Scenarios need to be plausible.	Exploratory or normative, qualitative; scenario set may include desired outcomes and in this sense involve normative assumptions but analysis may help explore new possibilities. This type of application broadly seeks to answer questions such as what the key issues or trends needs to be considered?	
Policy making, policy evaluation	Using scenarios to compare the implications of different policy options (e.g. 'policy on' vs 'policy off' situations); may also be used as part of impact assessment to assess consequences and/or risks of policy proposals. Can also be used to 'stress test' policy measures or interventions in different contexts (wind-tunnelling).	Analytical/predictive, qualitative and/or quantitative. The futures considered are often not simply plausible ones but the projected outcome of specific interventions; it is thus designed to answer 'what-if' type questions. Partially overlaps with planning and management applications.	
Planning and management	Using scenarios to compare implications of different management or planning strategies; often analysis is spatially explicit. Can also be used to 'stress test' management or planning measures or interventions in different contexts (wind-tunnelling).	Exploratory or normative; qualitative or quantitative; analytical/predictive. While the scenario analysis might be used to explore planning or management options vis a vis goals or planning objectives, work can also include more predictive modes through the analysis of projected outcomes of specific planning or management (impact assessments); thus again designed to answer a type of 'what-if' question. Can be highly exploratory if used as part of adaptive management.	

Understanding and knowledge generation

Developi

ommon goals visionin

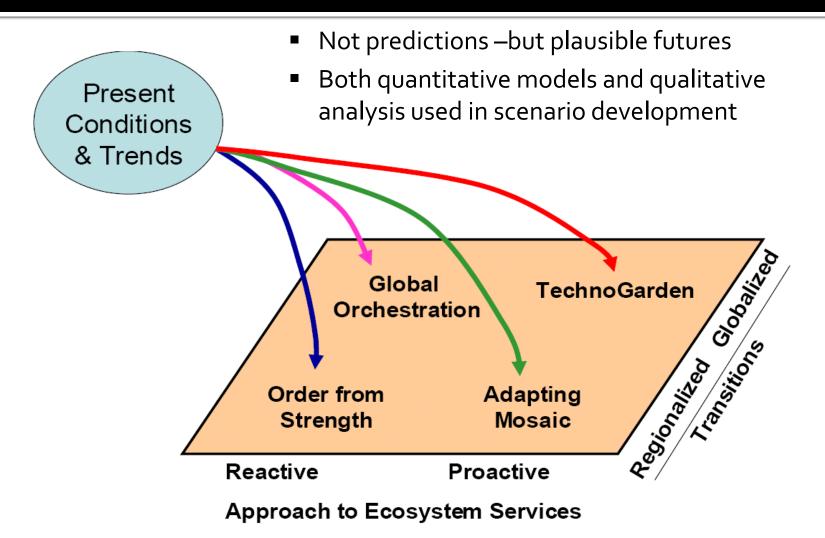
Communication

- Exploratory....
- Logical consequences of assumptions...
- Policy making
- Forward looking.....
- What <u>can</u> happen?

Planning and management

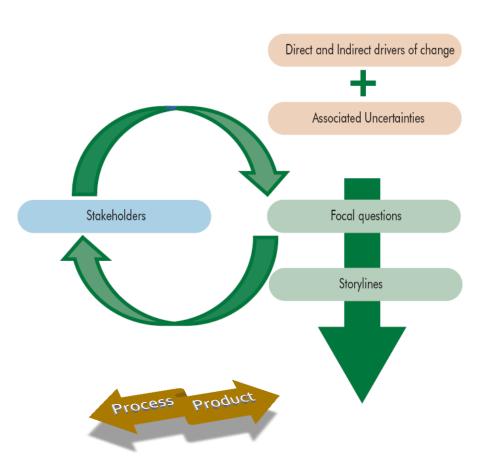


The example of the 'MA Scenarios'

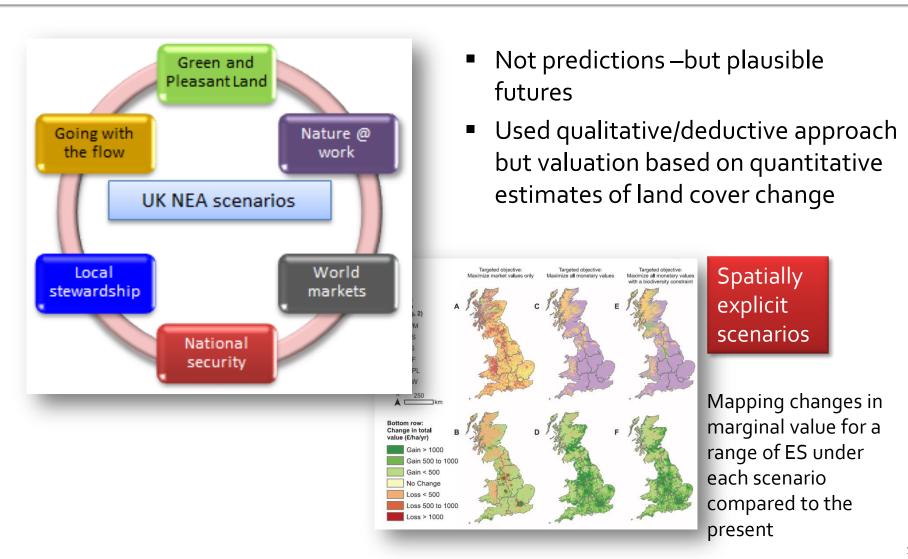


Building scenarios for the ANEA

- The model for the 'MA'
- Can capture both process and product dimensions
- Can be qualitative and quantitative (modelbased)



The example of the 'UK NEA'



Understanding and knowledge generation

Developing common goals, visioning

Comn

Normative....

Policy

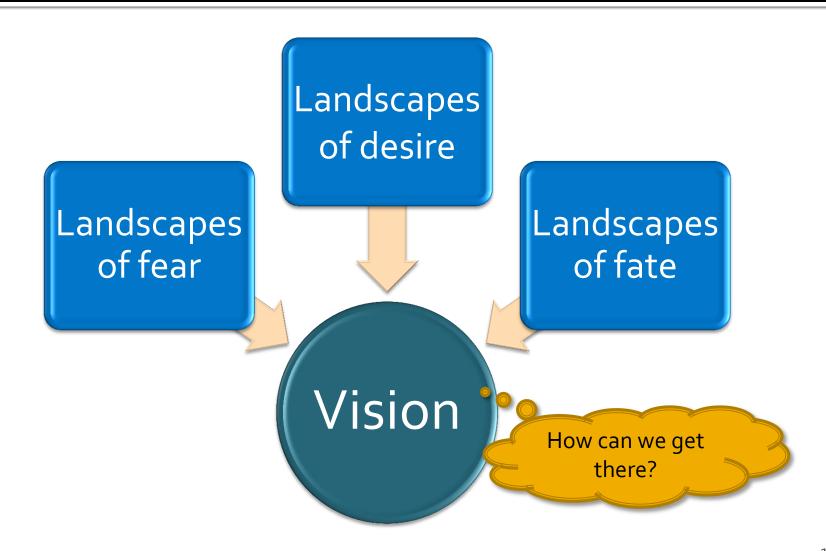
- Designed to crystallise visions and understand values
- Backcasting.....

Plan

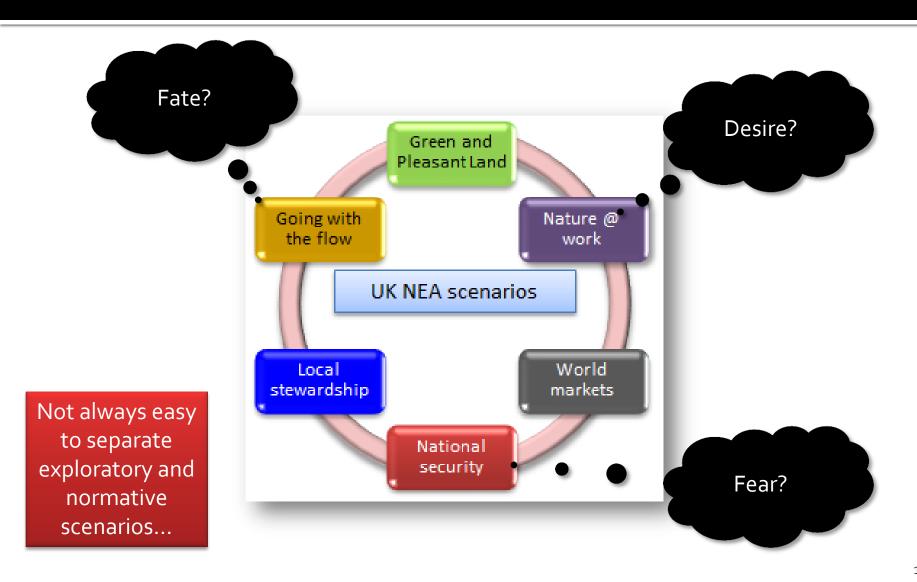
How can some desired or agreed outcome be delivered?



Backcasting



The example of the 'UK NEA'



Unders

- Exploratory or normative
- Quantitative or qualitative but plausible
- Scenario products are the starting point for discussion...

Dev

What the key issues or trends needs to be considered?

Communication, shared understanding

Policy making, policy evaluation

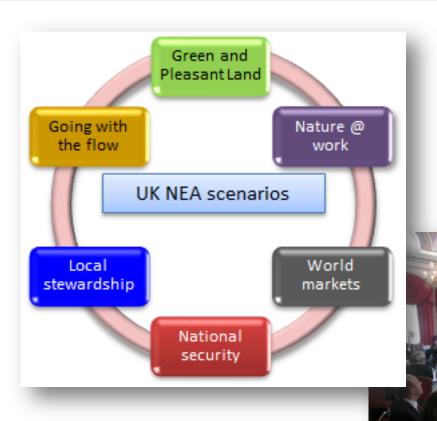
Planning and management

Current states and past trends

Possible futures

Strategies for today

The example of the UK NEA



 In the follow-up phase we used the scenarios as a framework for discussion amongst diverse stakeholder groups

Exploring the process dimension

Understanding and knowledge generation

- Comparative
- Quantitative or qualitative but plausible
- Common base-line?
 - What-if?

Communication, shared understa

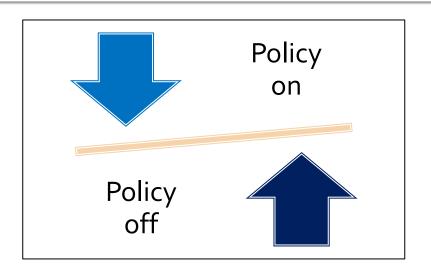
Policy making, policy evaluation

Planning and management

Possible futures

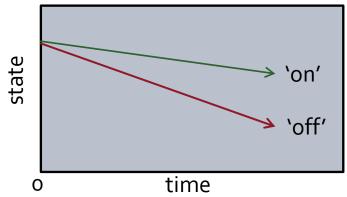
Strategies for today

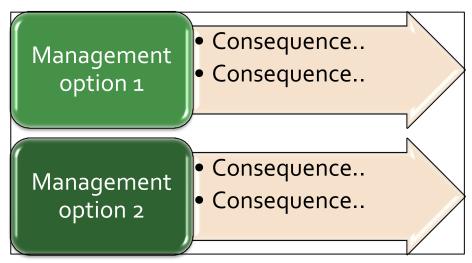
Scenarios for evaluation of policy and management proposals



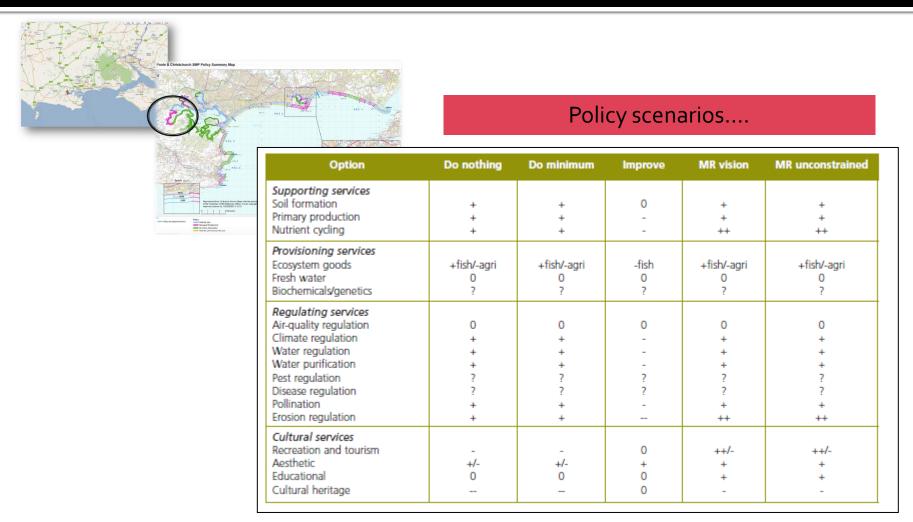
- Can be model based
- Assumes 'all other things are equal' (only one thing changes)
- Clear understanding or acceptance about what a 'good' or 'bad' outcome is...[change in marginal values]
- Closer in concept to a projection/ prediction....







Case study: Wareham Managed Realignment



Eftec (2010) Flood and Coastal Erosion Risk Management: Economic Valuation of Environmental Effects

Understanding and knowledge generation

- Comparative
- Quantitative or qualitative but plausible
- Common base-line?
 - What-if?

Communication, shared understa

Policy making, policy evaluation

Planning and management

Current states and past trends

Possible futures

Strategies for today

Understanding and knowledge generation

Developing common goals, visioning

Communication, shared understanding

Policy making, policy evaluation

Planning and management



Exercise 1: How can scenarios help my assessment?

Table 2: Discussion template

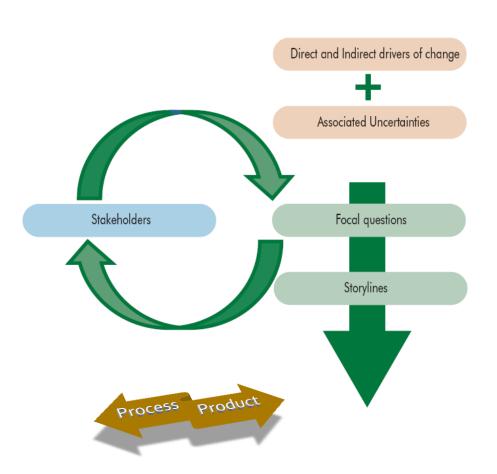
Uses		Issues to be considered for your application
Understanding and knowledge generation	what can happen?	
Developing common goals, visioning	how can some desired or agreed outcome be delivered?	
Communication, shared understanding	what the key issues or trends needs to be considered?	
Policy making, policy evaluation	'what-if'	
Planning and management	'what-if'	

Briefing session 2: Identifying focal questions and visions



The importance of focal questions

- They help establish relevance and saliency of scenarios work...
- In context of exploratory scenarios they help us to identify the direct and indirect drivers of change and associated uncertainties



Exploratory ...

Appendix 1: Steps in Scenario Development and Relevance to Policymaking (after Ranganathan, 2008; see also Hendrichs et al. 2010)

Scenario development steps *	Activities	Type of information generated	Relevance to the policymaking process
1) Decide on the focal questions	 Discuss historical developments that led to present situation Identify main uncertainties for the future Identify focal questions (main problems) to be addressed by the scenarios 	 analysis of current problems and their roots, based on stakeholder analysis analysis of key questions for the future clear understanding of main assumptions for the future of the investigated system 	 identifying issues framing issues identifying stakeholders to be engaged in decision process
2) Identify main drivers of ecosystem change	 List main drivers that will change the future Identify possible driver trajectories, thresh- olds and uncertainty about them Identify main interactions between drivers 	 analysis of main drivers shaping the future and their importance voicing of different view points on drivers' trajectories and their importance understanding of system's interactions, development of a system's perspective 	framing issuesprioritizing informationinforming policy selection
3) Develop the scenarios	 Develop first drafts of scenario storylines Translate storylines into model inputs and execute a modeling exercise (optional) Finalize scenarios based on critical assessment of storylines (qualitative) and modeling (quantitative) results, based also on stakeholder discussions 	 creative ideas about the future and emerging changes challenges for assumptions on drivers' interactions, consistency checks grounding of qualitative knowledge through modeling 	 identifying decision points evaluating policy options selecting policy designing monitoring systems
4) Analyze across the scenarios	 Conduct analysis across the scenarios set Discuss scenarios analysis' results for various stakeholder groups Write-up and disseminate scenario exercise 	 assessment of trade-offs and synergies of various management options information to different stakeholders on differing view points awareness of emerging issues for the future 	 identifying policy options evaluating policy options developing strategies for policy implementation and monitoring

^{*} Although the steps are described in a linear way, in practice there is much iteration among them.

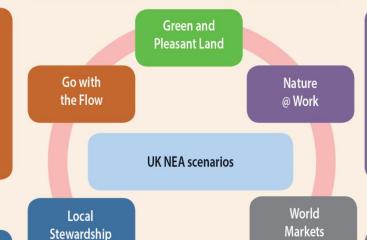
The 'geometry' of the UKNEA Scenarios?

Contrasts shaped by focal questions

This scenario is essentially a projection based on current trends and results in a future UK that is roughly based on today's ideals and targets.

This is a future where society is more concerned with the immediate surroundings and strives to maintain a sustainable focus on life within that area

A preservationist attitude arises because the UK can afford to look after its own backyard without diminishing the ever-increasing standards of living.



Under this scenario climate change results in increases in global energy prices forcing many countries to attempt greater self-sufficiency (and efficiency) in many of their core industries.

National

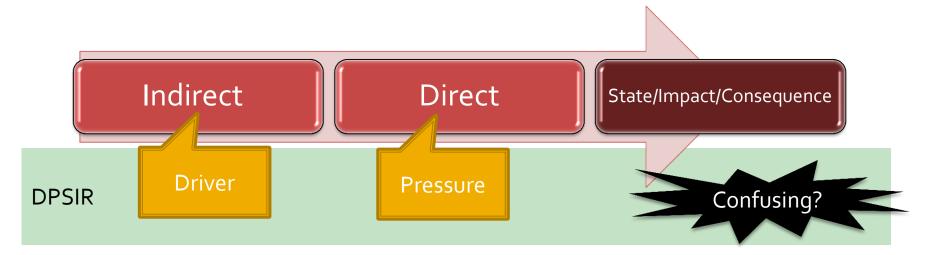
Security

The belief that the promotion of ecosystem services through the creation of multifunctional landscapes is essential for maintaining the quality of life in the UK is widely accepted.

High economic growth with a greater focus on removing barriers to trade is the fundamental characteristic of this scenario.

Drivers of change

- The <u>indirect</u> drivers are underlying (root) causes that are formed by a complex of social, political, economic, demographic, technological, and cultural variables. They operate more diffusely, by altering one or more direct drivers. (~PESTLE Framework?)
- A <u>direct</u> driver unequivocally influences ecosystem processes, while an indirect driver (e.g. habitat and climate change, alien species, pollution loads...)



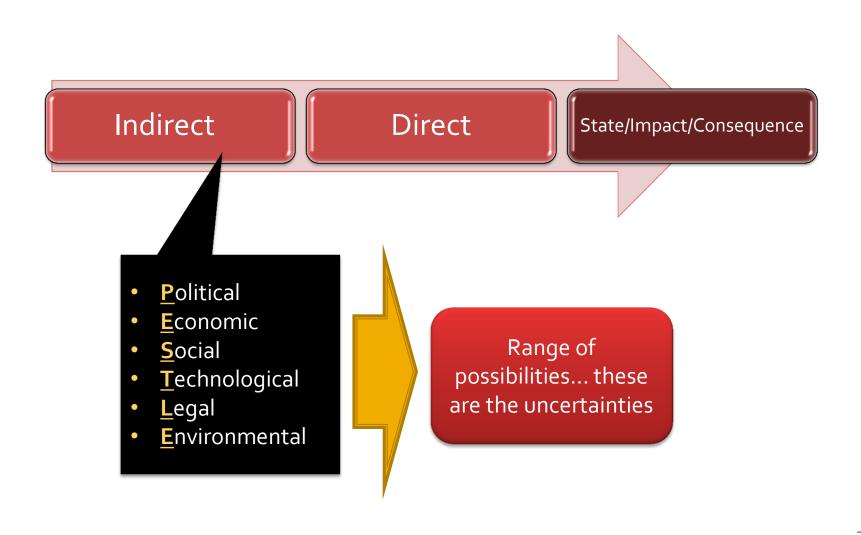
Drivers of change

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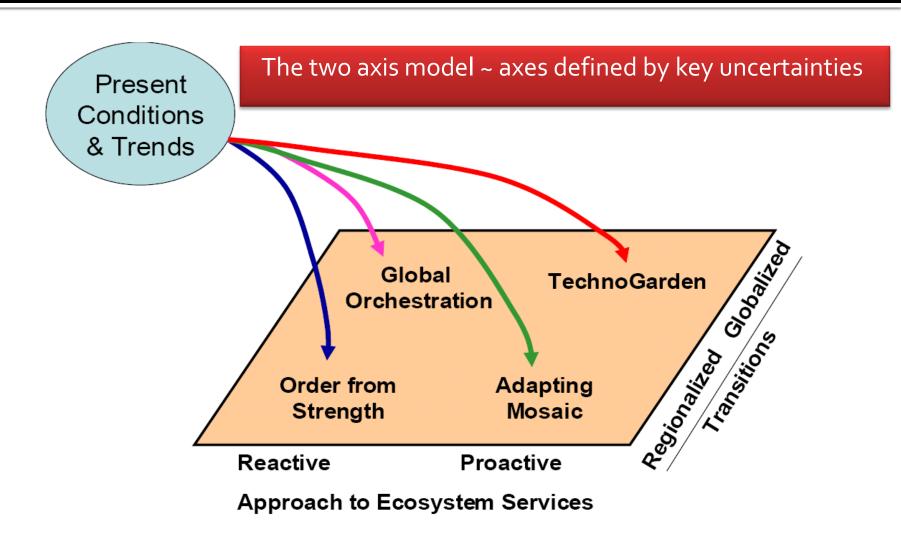
Indirect Direct State/Impact/Consequence

Deductive logic.... Hence exploratory... consequences of different assumptions about indirect and direct drivers

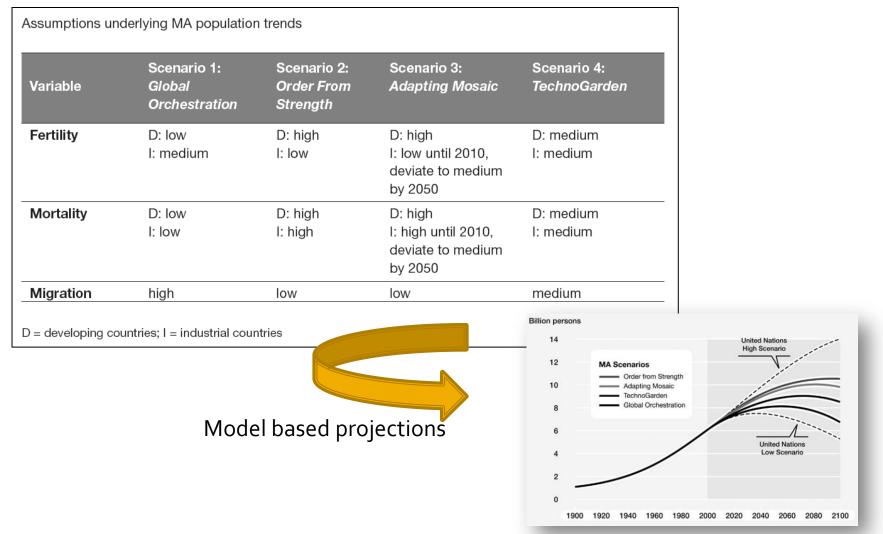
Drivers of change

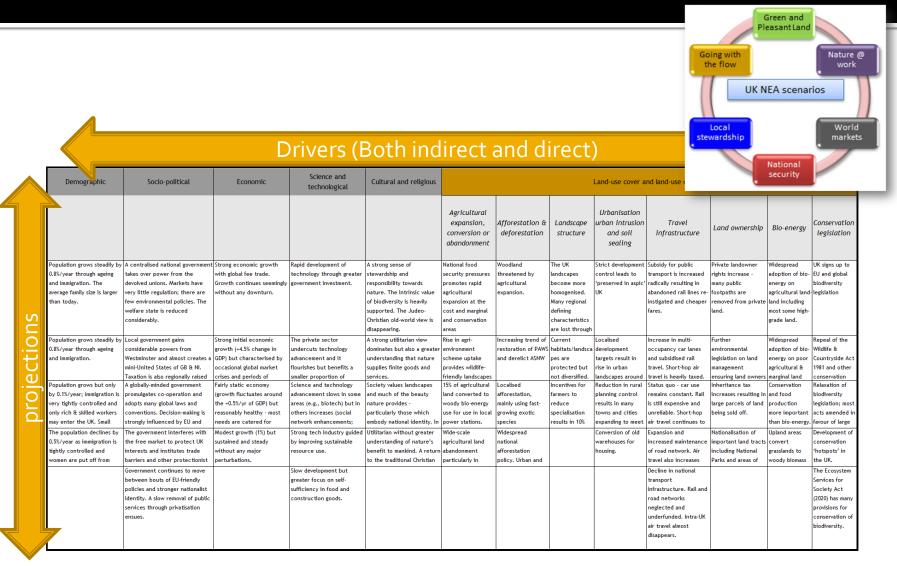


The example of the 'MA Scenarios'



The example of the 'MA Scenarios'





Drivers (Both direct and indirect)

	Demographic	Socio-political	Economic	Science and technological	Cultural and religious	Land-use cover and land-use change								
						Agricultural expansion, conversion or abandonment	Afforestation & deforestation	Landsca structi	ba d	oanisatior n intrusio and soil sealing	n Travel infrastructure	Land ownership	Bio-energy	Conservation legislation
F	opulation grows steadily by	A centralised nationa	trong economic growth	Rapid development of	A strong sense of	National food	Woodland	The UK		developme	t Subsidy for public	Private landowner	Widespread	UK signs up to
(1.8%/year through ageing	takes over power fr	ith global fee trade.	technology through greater	stewardship and	security pressures	threatened by	landsca	1	l leads to	transport is increased	rights increase -	adoption of bio-	EU and global
a	nd immigration. The	devolved unions. /	rowth continues seemingly	government investment.	responsibility towards	promotes rapid	agricultural	becon		ed in asp	c' radically resulting in	many public	energy on	biodiversity
a	verage family size is larger	very little regula	ithout any downturn.		nature. The intrinsic value	agricultural	expansion.	homo		N.	abandoned rail lines re-	footpaths are	agricultural land	legislation
ŀ	han today.	few environmen			of biodiversity is heavily	expansion at the		Many		N.	instigated and cheaper	removed from private	land including	
		welfare state			supported. The Judeo-	cost and marginal		defi			fares.	land.	most some high-	
		considerably			Christian old-world view is	and conservation		cha					grade land.	
					disappearing.	areas		are					_	
1	opulation grows steadily by	Local gov	rong initial economic	The private sector	A strong utilitarian view	Rise in agri-	Increasing trend of	G			Increase in multi-	Further	Widespread	Repeal of the
	1.8%/year through ageing	conside	-		dominates but also a greater	_	restoration of PAWS	h //			occupancy car lanes	environmental	adoption of bio-	
	nd immigration.	Westn	, ,		_		and derelict ASNW			in	and subsidised rail	legislation on land	energy on poor	Countryside Act
l ľ		mini			_	provides wildlife-					travel, Short-hop air	management	agricultural &	1981 and other
		Tay	rises and periods of			friendly landscapes				N _I n		ensuring land owners	1 -	conservation
1	opulation grows but only	A /	airly static economy		Society values landscapes		Localised			18	Status quo - car use	Inheritance tax		Relaxation of
	y 0.1%/year; immigration is					_	afforestation,				remains constant, Rail	increases resulting in		biodiversity
	ery tightly controlled and			areas (e.g., biotech) but in		woody bio-energy					is still expensive and	large parcels of land		legislation: most

power station

abandonment particularly in

Wide-scale agricultural la

Demographic

projections

Socio-political

Economic

Science and technological

Cultural and religious

Land-use cover and land-use change

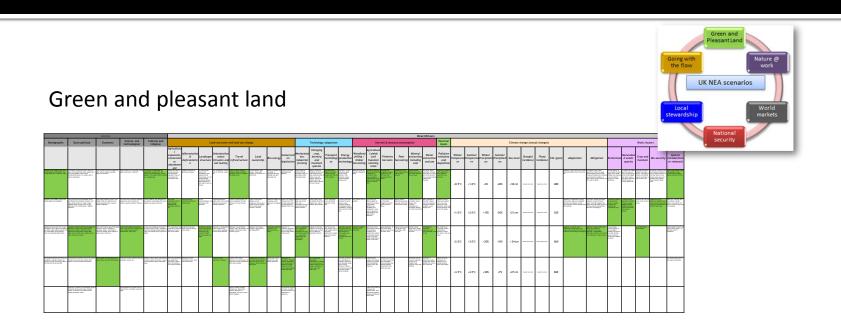
Technology adaptation

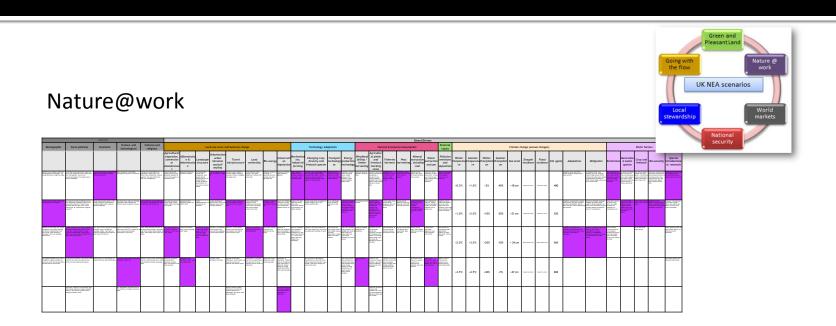
Harvest & resource consumption

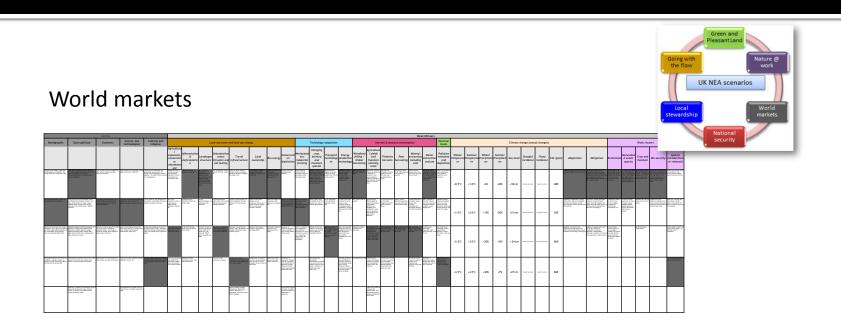
External inputs

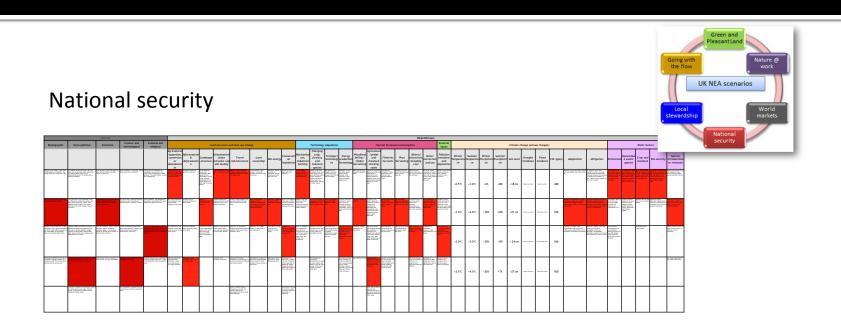
Climate change (annual changes)

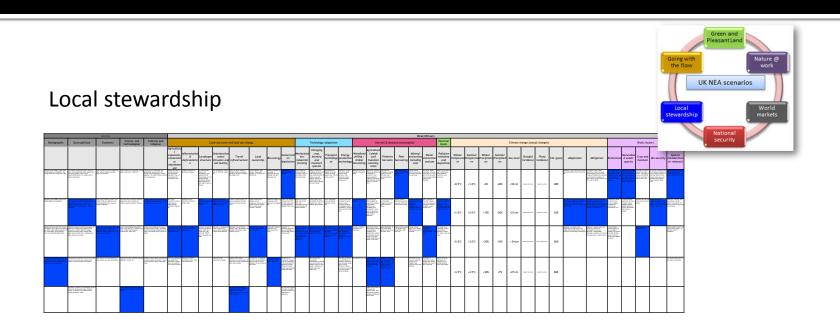
Biotic factors



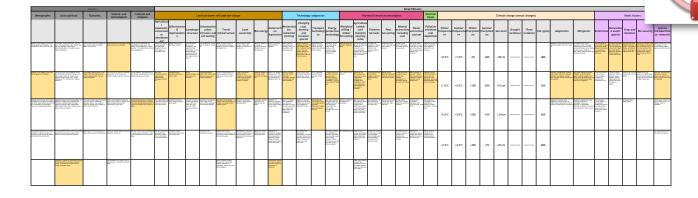












Each storyline is a plausible and consistent combination of indirect and direct drivers.....

Impacts on service output and human well-being?

UK NEA scenarios

Handling the time dimension

- Henrichs, et al. (2010):
 - "The time horizon of a scenario should be based on what is a reasonable amount of time for the main issues of concern to be explored or managed."
 - "Time horizons also have political implications and cannot always be selected in advance of the initial exploration of issues with stakeholders about policy cycles and information needs."
 - Experience :
 - Pressures from decision makers for shorter periods....
 - Difficult for some stakeholders to picture the future ~ generations?
 - Timelines or end states?

Exercise 2: Identifying focal questions and developing visions

Table 2.1: Focal questions and implied drivers of change											
Focal question	Relevant direct drivers of change	Relevant indirect drivers of change	Range of possibilities identified by thinking about outcomes in terms of 'desire', 'fear' and 'fate'								
Example: How could reform of agricultural policy deliver ecosystem services other than 'provisioning' from farmland?	Management practices, subsidy or market mechanisms, education	Policy and legislative frameworks; trade agreements	<u>Desire</u> : multi-functional landscapes <u>Fear</u> : Vested interests block change <u>Fate</u> : Weak and partial interventions								

Briefing session 3: Developing scenarios and using them



Tools and resources

Methods used to generate, integrate and ensure consistency of scenarios (after Börjeson *et al.* (2006) and Bishop *et al.* (2007)

Generate	Integrate	Consistency
Surveys	Explanatory modelling	Morphological field analysis
Workshops	Optimising modelling	Cross impact
Delphi methods	Time series analysis	
Backcasting Delphi		

This is not an exhaustive list!

Storylines

Many studies are a hybrid...

Qualitative

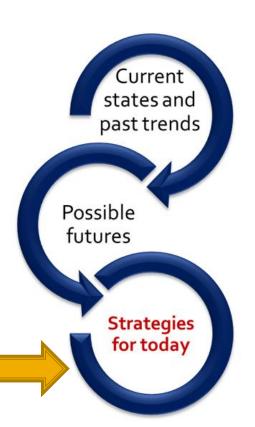
Quantitative

narrative descriptions of future developments, commonly in the form of phrases, storylines, or images

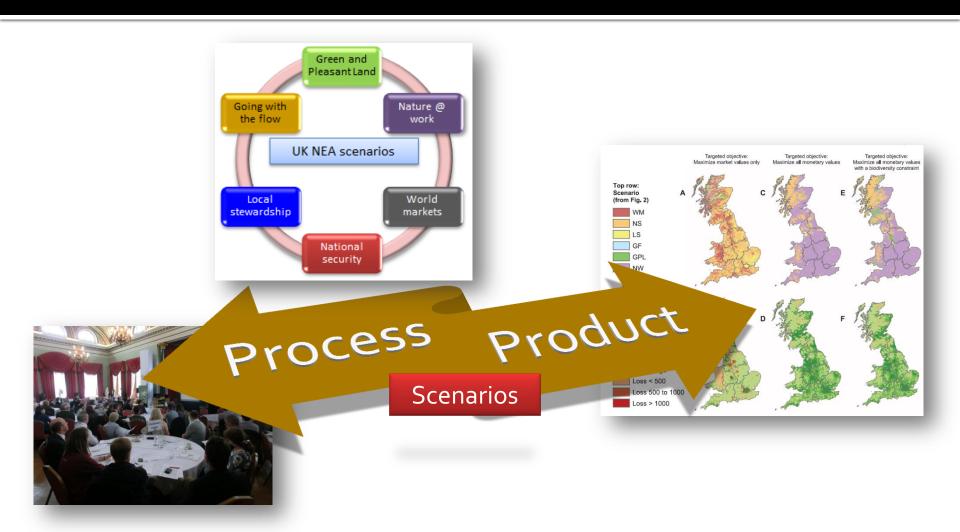
numerical estimates of future developments in form of tables, graphs, and maps, often based on the output of simulation modelling tools

Storylines

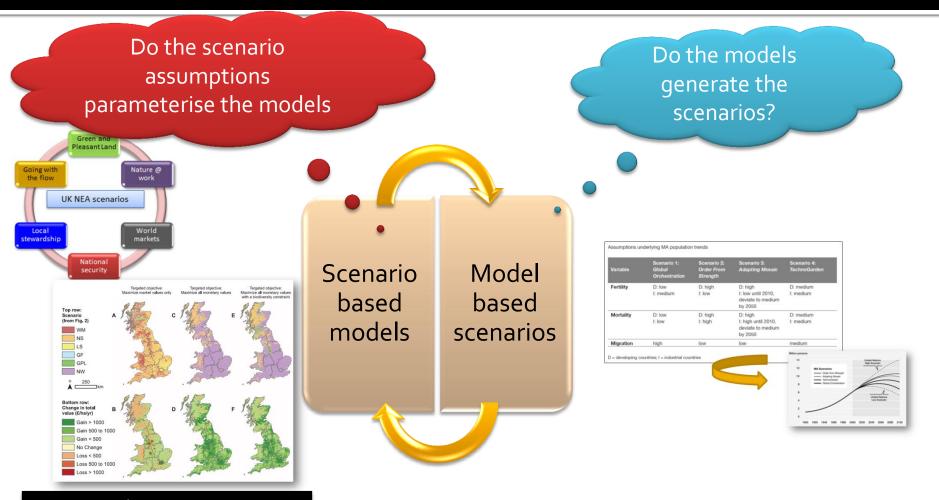
- Some issues and lessons:
 - Must be consistent, plausible, and relevant
 - Think about the separation of drivers and outcomes when using them in different contexts
 - But how complete should they be?
 - Use them as a set... the geometry matters



How can we use the scenarios?



Scenarios as Analytical Products



Bateman, I. et al. (2013) Bringing Ecosystem Services into Economic Decision-Making: Land Use in the United Kingdom. *Science*, 341, 45-50.

Scenarios as Analytical Products

Do the scenario assumptions parameterise the models

Farmland Birds

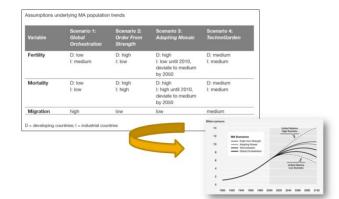
Catchment modelling

The marine environment

Cultural ecosystem services

Scenario Model based models scenarios

Do the models generate the scenarios?



NEAFO see Haines-Young et al. (2014)

Scenarios and deliberative processes

- How do we evaluate these scenarios?
 - Predictive power?
 - Decision support?
 - Social learning?



UK NEAFO: New deliberative tools – for 'stress testing' policy response options... (and checking our 'natural assets'?)

Scenarios and deliberative processes

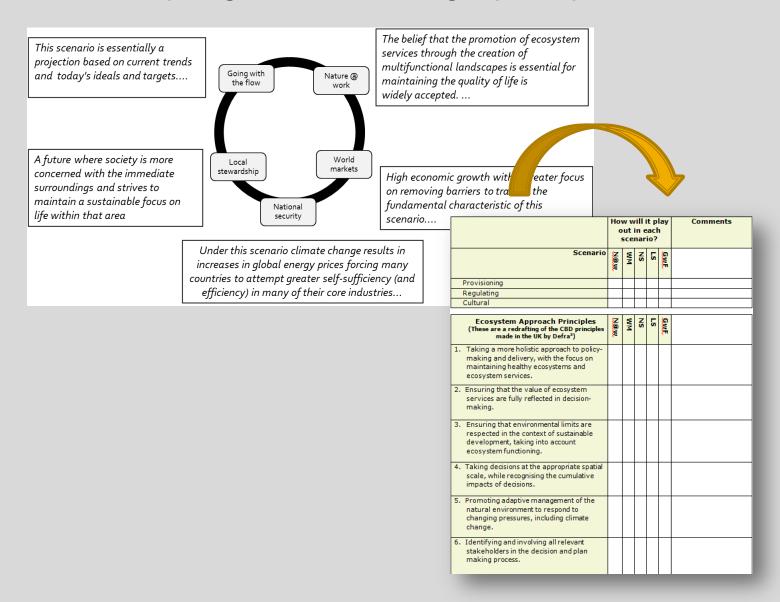
In UK NEAFO nearly 50 response options were 'stress-tested' to see how robust they are under both present conditions and the UK NEA scenarios

A selection of possible response options to illustrate the range of likely outcomes	Relevance				Positive net effect on ecosystem services			
	N@W	WM	NS	LS	Р	R	С	S
Statutory protected/designated areas:								
Protected areas	High/Med	Low	High/Med	High/Med	0	3	2	3
Marine no-take zones (nursery areas)	High/Med	Low	Low	High/Med	4	2	0	4
Statutory/regulation and quality standards:								
UK Forestry Standard	High/Med	Low	High/Med	Low	2	1	1	1
Water Framework Directive	High/Med	Low	High/Med	Low	1	2	2	2
Compulsory set-aside	High/Med	Low	Low	High/Med	0	2	0	2
EU energy legislation	High/Med	Low	Low	Low	1	1	1	1
Conservation measures in fisheries	High/Med	High/Med	Low	High/Med	4	2	0	4

Scenarios and deliberative processes



Exercise 3: Exploring outcomes and testing response options.



Families of scenarios

Global Environmental Change 22 (2012) 884-895



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Global Environmental Change

journal homepage: www.elsevier.com/locate/gloenvcha



Scenarios in Global Environmental Assessments: Key characteristics and lessons for future use

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^a Netherlan

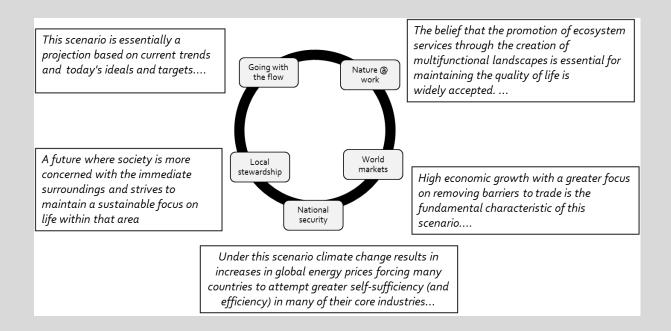
Table 3

Key assumptions in different 'scenario families'.

	Economic optimism	Reformed markets	Global SD	Regional competition	Regional SD	Business-as-usual
Economic development	Very rapid	Rapid	Ranging from slow to rapid	Slow	Ranging from mid to rapid	Medium (globalisation)
Population growth	Low	Low	Low	High	Medium	Medium
Technology development	Rapid	Rapid	Ranging from mid to rapid	Slow	Ranging from low to rapid	Medium
Main objectives	Economic growth	Various goals	Global sustainability	Security	Local sustainability	Not defined
Environmental protection	Reactive	Both reactive and proactive	Proactive	Reactive	Proactive	Both reactive and proactive
Trade	Globalisation	Globalisation	Globalisation	Trade barriers	Trade barriers	Weak globalisation
Policies and institutions	Policies create open markets	Policies reduce market failures	Strong global governance	Strong national governments	Local steering; l ocal actors	Mixed

Note: This table summarises key assumptions in very general terms. Where differences within a set of scenario families exist, broad ranges are indicated.

Exercise 4: Downscaling



- What would be the main contrasts between these futures at <u>your</u> sub-global scales?
- Are they any striking similarities in possible outcomes between scenarios at <u>your</u> sub-global scales?
- What alternative storylines suggest themselves at your sub-global scale – and how would they nest into global trends?

Final Thoughts

- Workshop themes:
 - How do scenarios fit into an assessment?
 - Identifying focal questions and visions.
 - Developing scenarios and using them in sub-global assessments

- Scenarios can stimulate social
- Encouraging us to challenge current strategies and assumptions...
- Helping embed ES concepts and values in current practice

Scenarios can't predict the future but they can tell us a lot about today!!